## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Thomas E. Whittlesey et al. Application Serial No.: TBA Filed: Concurrently herewith

SYSTEMS WITH INTERCHANGEABLE HORNS FOR PRODUCING ENCASED PRODUCTS AND RELATED METHODS, COMPUTER PROGRAM PRODUCTS

AND HORN ROTOR ASSEMBLIES

Date: December 5, 2003

MAIL STOP PATENT APPLICATION **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

Registration No. 40,142

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Rosa Lee Brinson

,	Substitute form 1449A/PTO	Complete if Known		
		Application Number	TBA	
	INFORMATION DISCLOSURE	Filing Date	Concurrently herewith	•
}	STATEMENT BY APPLICANT	First Named Inventor	Thomas E. Whittlesey	
		Group Art Unit	Unknown	
	(use as many sheets as necessary)	Examiner Name	Unknown	
-	Sheet of	Attorney Docket Number	9389-4	

	04- 11-	110 5-4		ND PATENT PUBLICATIONS	Data of Dublication (City)
Examiner	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication of Cited
Initials*		Number	Kind Code (if known)	Document	Document MM-DD-YYYY
	1.	6,401,885	188/322.16	Whittlesey	06/11/2002
	2.	5,203,760	493/302	Chen; Kuo-Raid G. et al.	05/15/1992
	3.	5,181,302	29/243.56	Evans	01/26/1993
	4.	5,167,567	452/37	Evans	12/01/1992
	5.	5,085,036	53/550	Evans, et al.	02/04/1992
	6.	5,074,386	188/67	Evans	12/24/1991
	7.	5,067,313	53/576	Evans	11/26/1991
	8.	4,847,953	452/36	Evans et al.	07/18/1989
	9.	4,675,945	452/48	Evans et al.	06/30/1987
	10.	3,543,378		Klenz	12/01/1970
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FOREIGN PATENT DOCUMENTS							
Examiner		Foreign Patent Document		ocument	Name of Patentee or Applicant of Cited	Date of Publication	Ť
Initials*	No.	Office	e Number Kind Code (if known)		Document	of Cited Document MM-DD-YYYY	
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		OTHER NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	11.	Product Brochure, "The RS4202M Can Do It All," © 1998, www.tippertie.com, 2 sheets, product available prior to the filing of the application.	
	12.	Product Brochure, "Production Made Easy With the Tipper Tie RS4202M Glue Seal System," www.tippertie.com, 1 sheet, product available prior to the filing of the application.	
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Examiner Signature	 Date Considered	
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